

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Tetsuro IWAMOTO

Application No.: New US Patent Application

Filed: March 26, 2001

Docket No.: 108991

For: SYSTEM AND METHOD FOR SUPPORTING PROVISION OF RATING RELATED  
SERVICE

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. A concise explanation of the relevance of the non-English language reference #3 appears in the Appendix attached hereto.
- ☒ 3. English-language Abstracts of the non-English language references #1 and #2 are attached hereto.

Respectfully submitted,



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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 108991		APPLICATION NO. New US Patent Application	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANT Tetsuro IWAMOTO		09/816211  03/26/01	
				FILING DATE March 26, 2001			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
	1	JP A 5-334309 (w/ abstract)	12/17/1993	JAPAN			
	2	JP A 6-168219 (w/ abstract)	06/14/1994	JAPAN			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	3	K. MASAOKI et al., "Credit Risk", Quantification of Financial Risk, vol. 2, pp. 65-81					
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							